

# Regulatory Reporting & Compliance

Leverage the latest technology to automate, analyze, and respond quickly to market events and regulatory change.

## THE SOLUTION

Leveraging leading-edge technology, our comprehensive global reporting solution helps fund managers navigate the complexities of a wide range of reporting requirements across global jurisdictions. The service automates and streamlines the reporting process, aggregating data across various sources, performing data validation, and preparing filing.

## BENEFITS

### Tap Into Our Regulatory Expertise

Our team of regulatory experts have deep experience in helping firms navigate evolving regulations across the globe. As regulations change, and new mandates are implemented, we ensure our solutions are up-to-date so you can be compliant on day one.

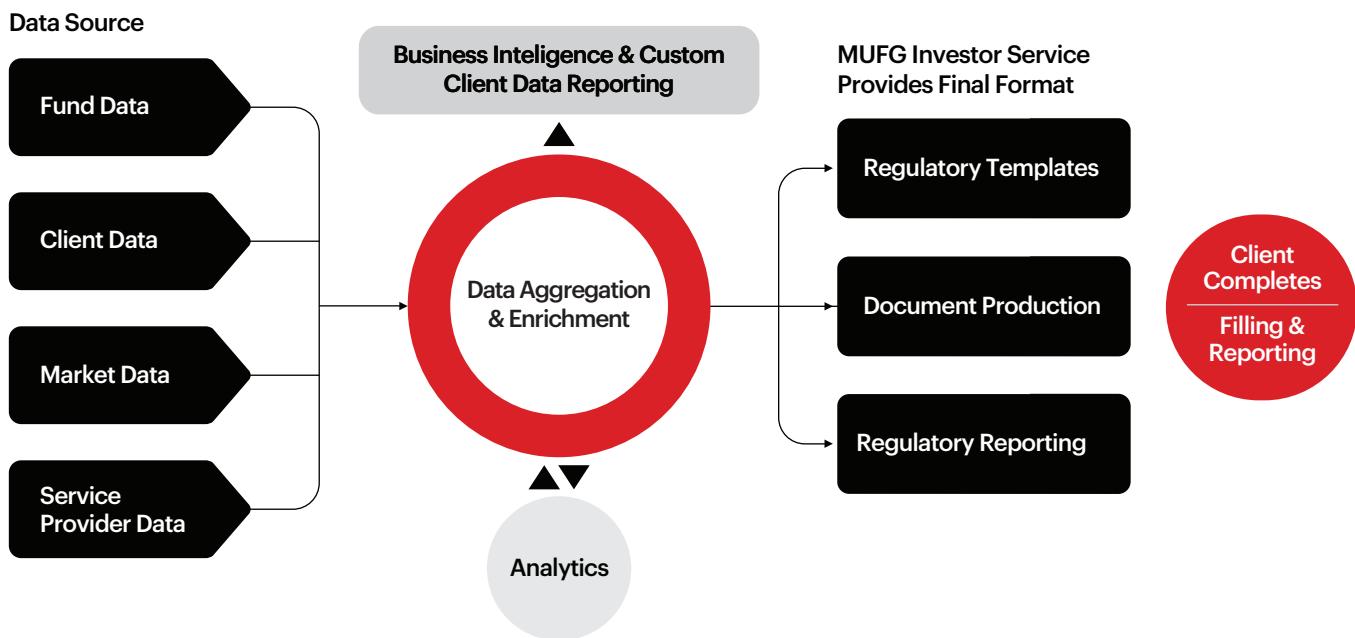
### Compliance Made Simple

We streamline regulatory reporting by aggregating data, reducing reporting generation time, and simplifying the review process.

## FILINGS AND REPORTING COVERED

- ALTERNATIVE INVESTMENT FUND MANAGERS DIRECTIVE (AIFMD) ANNEX IV REPORTING
- FORM PF (PRIVATE FUND)
- COMMODITY POOL OPERATOR PORTFOLIO QUALITY REVIEW (CPO PQR)
- OPEN PROTOCOL ENABLING RISK AGGREGATION (OPERA)
- POST-TRADE COMPLIANCE MONITORING
- SUSTAINABLE FINANCE DISCLOSURE REGULATION (SFDR)
- CENTRAL BANK OF IRELAND (CBI) AND COMMISSION DE SURVEILLANCE DU SECTEUR FINANCIER (CSSF) REPORTING
- SOLVENCY II
- CAYMAN ISLANDS MONETARY AUTHORITY FUND ANNUAL RETURN (CIMA FAR)

## HOW IT WORKS



### YOUR PERFORMANCE. OUR PRIORITY.

Offering much more than asset servicing and administration, we orchestrate scalable and flexible solutions across the entire investment value chain, so you can focus on growth.



To learn more, please visit us at [mufg-investorservices.com](http://mufg-investorservices.com)

MUFG Investor Services is a global service brand name and is not the name of a contracting firm. The brand name is used by operating entities with operations in Cayman Islands, Ireland, Luxembourg, Cyprus, Malaysia, Australia, Bermuda, England, Singapore, Hong Kong, Canada, USA. For a list of the potential contracting MUFG Investor Services firms that fall under this brand, please visit [www.mufg-investorservices.com](http://www.mufg-investorservices.com). The listed firms are directly or indirectly owned by Mitsubishi UFJ Financial Group, Inc. and are regulated entities. This list is subject to change. The MUFG logo and name is a protected service mark of Mitsubishi UFJ Financial Group, Inc. and may be used by it or its subsidiaries for branding or marketing purposes. You are prohibited from using the MUFG logo and name. This document is not an offer or an inducement to enter into an arrangement or contract of any kind and does not constitute an offer, solicitation, recommendation or advice to buy or sell any products or services. All services provided by the relevant MUFG Investor Services contracting entity are based on written agreements between such entity and its clients and not this document. While we believe that any factual statements herein, and any assumptions on which the statements are based, are accurate, we make no representation or warranty regarding the completeness or accuracy of such statements, and we are not responsible for any inaccuracy or reliance upon these statements whatsoever. The information contained herein is subject to change without notice and may not reflect the latest developments or market conditions. The information is not intended to provide, and should not be relied on for, accounting, legal, tax, regulatory or investment advice. You should consult your own professional advisors before making any decision based on the information. Some of the services described herein may only be available in certain jurisdictions, or for specific types of clients. The information is not intended for distribution to, or use by, any person or entity in any jurisdiction or country where such distribution or use would be contrary to local law or regulation. Each MUFG Investor Services operating entity, together with its affiliates, directors, officers, employees, agents and representatives do not accept any liability for any loss or damage, whether direct, indirect, consequential or otherwise, arising from or in connection with the use of or reliance on the information. Unless you are a government agency that is required by law to disclose bids and contracts for transparency purposes, you must keep this document confidential. MUFG Investor Services does not provide legal or advisory services in connection with regulatory reporting services.